

01/24/02



JC658 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications
under 37 CFR 1.53(b))

Attorney Docket No.

16313-0093

Named Inventor(s)

David F. Karnosky, Gopi K. Podila and
Bixia Xiang

Title

Transgenic Trees Having Increased Resistance
to Imidazolinone Herbicides

Express Mail Label No.

EL690570146US

APPLICATION ELEMENTS

ADDRESS TO: Commissioner for Patents
Box Patent Application
Washington, D.C. 20231

- | | |
|--|---|
| <p>1. <input checked="" type="checkbox"/> Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)</p> <p>2. <input type="checkbox"/> Applicant claims small entity status.
See 37 CFR 1.27.</p> <p>3. <input checked="" type="checkbox"/> Specification, Claims,
and Abstract Total Pages 25</p> <p>4. <input checked="" type="checkbox"/> Drawings Total Sheets 5</p> <p>5. Oath or Declaration Total Pages
 a. <input type="checkbox"/> Newly executed (original or copy)
 b. <input type="checkbox"/> Copy from prior application (37 CFR 1.63(d))
 (for continuation/divisional with Box 19
completed)
 (i) <input type="checkbox"/> DELETION OF INVENTOR(S)
 Signed statement attached deleting
inventor(s) named in the prior
application, see 37 CFR 1.63(d)(2)
and 1.33(b).</p> <p>6. <input type="checkbox"/> Incorporation by Reference
(usable if Box 5b is checked)
The entire disclosure of the prior application, from
which a copy of the oath or declaration is supplied
under Box 5b, is considered as being part of the
disclosure of the accompanying application and is
hereby incorporated by reference therein.</p> <p>7. <input type="checkbox"/> Nonpublication Request Under 35 U.S.C.
122(b)(2)(B)(i).
<input type="checkbox"/> Form PTO/SB/35</p> <p>8. <input type="checkbox"/> Application Data Sheet. See 37 CFR 1.76</p> <p>9. <input type="checkbox"/> CD-ROM or CD-R in duplicate, large table or
Computer Program (Appendix)</p> | <p>10. <input type="checkbox"/> Nucleotide and/or Amino Acid Sequence
Submission (if applicable, all necessary)
 a. <input type="checkbox"/> Computer Readable Form (CRF)
 b. <input type="checkbox"/> Specification Sequence Listing on:
 i. <input type="checkbox"/> CD-ROM or CD-R (2 copies); or
 ii. <input type="checkbox"/> paper
 c. <input type="checkbox"/> Statement verifying identity of above
copies</p> <p>11. <input type="checkbox"/> Assignment:
 a. <input type="checkbox"/> Assignment Papers (cover sheet &
document(s))
 b. <input type="checkbox"/> Assignment is of record in parent
application No.</p> <p>12. <input type="checkbox"/> 37 CFR 3.73(b) Statement
(when there is an assignee)
<input type="checkbox"/> Power of Attorney by assignee</p> <p>13. <input type="checkbox"/> English Translation Document (if applicable)</p> <p>14. <input type="checkbox"/> Information Disclosure Statement (IDS) PTO-
1449
<input type="checkbox"/> Copies of IDS Citations</p> <p>15. <input type="checkbox"/> Preliminary Amendment</p> <p>16. <input checked="" type="checkbox"/> Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)</p> <p>17. <input type="checkbox"/> Certified Copy of Priority Document(s)</p> <p>18. <input type="checkbox"/> Other: <u>This application claims priority to U.S.
Provisional Application Serial No. 60/264,216
filed January 25, 2001.</u></p> |
|--|---|

19. If a **CONTINUING APPLICATION**, check appropriate box and supply the requisite information and in a preliminary amendment, or in an Application Date Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No:
Prior application information: Examiner: Group Art Unit:
Recite complete dependency back to first parent application: [insert dependency]

20. CORRESPONDENCE ADDRESS:

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Reg. No. 44,669

ATTACH CUSTOMER BAR CODE LABEL BELOW



29052

AO 674705.1

PATENT & TRADEMARK OFFICE

JC658 U.S. PTO

10/057609



01/24/02

FEE TRANSMITTAL

Attorney Docket No. 16313-0093

This sheet accompanies a patent application transmittal for the following application:

Inventor(s): **David F. Karnosky, Gopi K. Podila and Bixia Xiang**Filing Date: **January 24, 2002**Title: **Transgenic Trees Having Increased Resistance to Imidazolinone Herbicides**

The filing fee is calculated as shown below:

1. FILING FEE:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input checked="" type="checkbox"/> UTILITY FILING FEE	\$370		\$740	740.00
<input type="checkbox"/> DESIGN FILING FEE	\$165		\$330	
<input type="checkbox"/> PLANT FILING FEE	\$255		\$510	
<input type="checkbox"/> REISSUE FILING FEE	\$370		\$740	
<input type="checkbox"/> PROVISIONAL FILING FEE	\$80		\$160	
SUBTOTAL (1)		\$		\$740.00

2. CLAIMS:

SMALL ENTITY				LARGE ENTITY		
FOR:	NO. FILED	NO. EXTRA	RATE	FEE	RATE	FEE
TOTAL CLAIMS	50 - 20 =	30	x 9 =		x 18 =	\$540.00
INDEP. CLAIMS	4 - 3 =	1	x 42 =		x 84 =	\$84.00
<input checked="" type="checkbox"/> MULTIPLE DEPENDENT CLAIM PRESENTED			+140 =		+280 =	\$280.00
SUBTOTAL (2)				\$		\$904.00

3. ADDITIONAL FEES:

SMALL ENTITY			LARGE ENTITY	
FOR:	FEE	FEE PAID	FEE	FEE PAID
<input type="checkbox"/> LATE FILING, FEE OR OATH	\$65		\$130	
<input type="checkbox"/> NON-ENGLISH SPECIFICATION	\$130		\$130	
<input type="checkbox"/> OTHER				
SUBTOTAL (3)		\$		\$

TOTAL FILING FEES: \$1,644.00A check is enclosed for the total amount: **\$1,644.00**☒ Charge any additional fees required under 37 C.F.R. 1.16 or 1.17 to Deposit Account 19-5029.

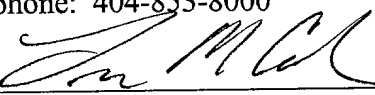
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Date: January 24, 2002